

12/21/01



REFERENCE & ASSOCIATE
PATENT FILING TRANSMITTAL

12-27-01

DOCKET NO. 625.001

A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Patent Application
Commissioner of Patents and Trademarks
Washington, D.C. 20231



PATENT FILING TRANSMITTAL

Transmitted herewith for filing is the Patent Application of: Pierre M. Crespo

For: SUBSTANCE TESTING DEVICES WITH PHOTO IDENTIFICATION

TYPE OF FILING

This new patent application is for a(n):

- ☒ Utility
- ☐ Design
- ☐ Plant
- ☐ Divisional
- ☐ Continuation
- ☐ Continuation-in-part

Benefit of a prior filed application

- ☐ This application claims the benefit of an earlier filed U.S. Patent Application under 35 USC 120.
- ☐ Please accord Applicant the benefit of the priority date of _____ to this case pursuant to 35 USC 119. Applicant's claim for priority is based on application _____ filed in _____ on that date.

Filing under 37 CFR 1.53 (Utility) or 37 CFR 1.153 (Design)

- ☒ This is an application filed pursuant to 37 CFR 1.53 or 37 CFR 1.153, permitting receipt of a filing date upon filing of a specification, at least one claim and necessary drawings.
- ☒ In the event any parts of this application are incomplete, please treat this as a filing under 37 CFR 1.53 or 37 CFR 1.153.

ENCLOSURES

- ☒ 11 - pages of written description;
- ☒ 7 - pages of claims;
- ☒ 1 - pages of abstract;
- ☐ _____ - sheets of formal drawings;
- ☒ 1 - sheets of informal drawings;
- ☒ Declaration and Power of Attorney or listing of inventors;
- and**
- ☒ One postcard for return to us as proof of receipt of the above documents.

plus

- ☐ An Assignment of the invention;
- ☒ Verified Statement Claiming Small Entity Status (37 CFR 1.9(f) and 1.27(b))
- ☒ Form PTO-1449 (IDS) and two copies of the references listed thereon;

- ☐ A certified copy of _____(country) patent application number _____ (priority document).
☐ A preliminary amendment;
☐ Declaration of Biological Deposit;
☐ Submission of sequence listing, computer readable copy and/or amendment relating thereto for biotechnology invention containing nucleotide and/or amino acid sequence;
☐ An associate power of attorney;
☒ Other: Verified Statement Claiming Small Entity Status

DECLARATION OR OATH

The enclosed Declaration or Oath has been executed by:

- ☒ Inventor(s);
☐ Legal representative of the inventors (37 CFR 1.42 or 1.43);
☐ Joint inventor or person showing proprietary interest on behalf of an inventor who refused to sign or who cannot be reached and this is a petition required by 37 CFR 1.47 and the statement required by 37 CFR 1.47 is attached;
☐ Has not been executed and is enclosed for the purposes of identifying the inventors.

INVENTORSHIP STATEMENT

The inventorship for all the claims in this application is:

- ☒ the same;
☐ not the same and, as an explanation, a statement is/ will be submitted.

LANGUAGE

The application submitted herewith is:

- ☒ in English;
☐ in not in English and in terms of 37 CFR 1.52(d) a verified translation is
☐ attached
☐ not attached.

FEE CALCULATION

The filing fee has been calculated as shown below:

	SMALL ENTITY	OR	OTHER THAN A SMALL ENTITY	
BASIC FEE Design Patent	\$165	\$	\$330	\$
BASIC FEE Utility Patent	\$370	\$370	\$740	\$
EXTRA FEES	RATE	FEE	RATE	FEE
TOTAL CLAIMS 32 MINUS 20 = 12	x 9=	\$108	x18=	\$
INDEP.CLAIMS 2 MINUS 3 = 0	x 42=	\$0	x84=	\$
<input type="checkbox"/> MULTIPLE DEP.CLAIM	+140=	\$	+280=	\$
<input type="checkbox"/> ASSIGNMENT	+ 40=	\$	+40=	\$
<input type="checkbox"/> RULE 53 SURCHARGE	+ 65=	\$	+130=	\$
TOTAL		\$478		\$

FEE PAYMENT

☒ Attached is Check No. 6030 in the sum of \$478 to cover the filing fee and, if applicable, the assignment fee.

Respectfully submitted,

Shirley D. Lee

Stanley D. Ference III
Reg. No. 33,879

Dated: December 21, 2001

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Year	1970	1971	1972	1973	1974	1975	1976	1977	1978	1979	1980	1981	1982	1983	1984	1985	1986	1987	1988	1989	1990	1991	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	2021	2022	2023	2024	2025	2026	2027	2028	2029	2030	2031	2032	2033	2034	2035	2036	2037	2038	2039	2040	2041	2042	2043	2044	2045	2046	2047	2048	2049	2050	2051	2052	2053	2054	2055	2056	2057	2058	2059	2060	2061	2062	2063	2064	2065	2066	2067	2068	2069	2070	2071	2072	2073	2074	2075	2076	2077	2078	2079	2080	2081	2082	2083	2084	2085	2086	2087	2088	2089	2090	2091	2092	2093	2094	2095	2096	2097	2098	2099	2100
1970	1971	1972	1973	1974	1975	1976	1977	1978	1979	1980	1981	1982	1983	1984	1985	1986	1987	1988	1989	1990	1991	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	2021	2022	2023	2024	2025	2026	2027	2028	2029	2030	2031	2032	2033	2034	2035	2036	2037	2038	2039	2040	2041	2042	2043	2044	2045	2046	2047	2048	2049	2050	2051	2052	2053	2054	2055	2056	2057	2058	2059	2060	2061	2062	2063	2064	2065	2066	2067	2068	2069	2070	2071	2072	2073	2074	2075	2076	2077	2078	2079	2080	2081	2082	2083	2084	2085	2086	2087	2088	2089	2090	2091	2092	2093	2094	2095	2096	2097	2098	2099	2100	

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Pierre M. Crespo Group Art: not yet assigned
Serial No. : not yet assigned Examiner: not yet assigned
Filed : herewith
For : SUBSTANCE TESTING DEVICES WITH PHOTO IDENTIFICATION

EXPRESS MAIL CERTIFICATE

Express Mail Label No. EL765474786 US

Date of Deposit 21 December 2001

I hereby certify that the following attached paper(s) or fee:

Patent Application
Written Description
Claims 1-32
Abstract
Drawings (Fig. 1)
Declaration and Power of Attorney
Information Disclosure Statement and Form PTO-1449
Verified Statement Claiming Small Entity Status
Patent Filing Transmittal (in duplicate)
Certificate of Express Mail
Check No. 6030 in the amount of \$478.00
One Return Postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202

Stanley D. Ference III
(Typed or printed name of
person mailing paper)

Andy D. Lawrence
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